

INVESTOR GRIEVANCE REDRESSAL POLICY

Indus Tech Edge Fund Scheme 1

by

Indus Tech Edge Fund Trust, a trust registered with SEBI
as a Category II Alternative Investment Fund
with effect from February 10, 2025

Investment Manager: Indus TLG Managers LLP

Document History

Version	Author	Approver	Date	Comments
1.0	Anurag Kumar	Partners of LLP	08/01/2026	

The Policy was first approved by the Investment Manager vide Resolution dated January 8, 2026.

Introduction:

Indus Tech Edge Fund Scheme 1 (hereinafter referred to as **'the Fund'**) is a scheme of Indus Tech Edge Fund Trust (hereinafter referred to as **'the Trust'**). The Trust is registered as a Category II Alternate Investment Fund ("**AIF**") under the provision of Securities and Exchange Board of India (Alternative Investment Fund) Regulations, 2012 ("**SEBI AIF Regulations**"). The Fund may float Schemes from time to time ("Schemes").

Indus TLG Managers LLP ("**Investment Manager**") acts as an Investment Manager to the Trust. The Investment Manager is responsible for managing the affairs of the Fund and shall formulate policies and procedures in accordance with the Fund documents and applicable regulations for effective implementation and management of Fund and Schemes investments and operations.

The AIF Regulations mandate the Alternative Investment Fund to address all investor grievances and prescribes an AIF, by itself or through the Investment Manager or Sponsor, to lay down the procedure for resolution of disputes between the investors, AIF, Manager or Sponsor through arbitration or any such mechanism as mutually decided between the investors and the AIF.

In compliance with the above requirements, the Investment Manager has framed the Investor Grievance Redressal Policy (the "**Policy**") to lay down the grievance redressal framework and the dispute resolution mechanism.

The Investment Manager shall designate one of its personnel as the **Investor Relation Officer** who shall seek to attend and address any investor query/concern/grievance at the earliest. The Investment Manager will ensure that this official is vested with the necessary authority and independence to handle grievances received from the investors.

Scope & Purpose:

A grievance is defined under this policy as a written or verbal expression of dissatisfaction against the operation of the Scheme or its employees resulting from potential damage or wrongdoing to an investor or from a violation of Securities and Exchange Board of India ("**SEBI**") guidelines or related laws/regulations which may or may not request for a claim for compensation. Grievances will include allegations such as failure to disclose conflicts of interest, misrepresentations, etc. Such grievances may be regarding the processing of drawdown transactions, distribution of units etc. Investor feedback, queries/clarifications will not be considered as instances of complaint or grievance.

Such grievances if not addressed in due course will hamper the Fund and the Scheme's reputation and functioning. Hence, this policy provides for the framework to be adopted by the Investment Manager with respect to effective redressal, in the event of any investor grievance.

The purpose of this policy is to develop principles and procedures for redressing investor grievances in a timebound and appropriate manner and endeavoring that no complaint/ grievance stays unaddressed or pending for more than 21 calendar days.

Key Obligations of the Investment Manager & Investor Relations Officer (“IRO”):

The Investment Manager shall appoint IRO from time to time as it may deem appropriate. The Investment Manager and IRO will ensure adherence to the following principles:

- i. Investors are treated fairly, reasonably, justly and in a time-bound manner.
- ii. Investor confidentiality and privacy is always maintained through a secure environment.
- iii. Investor is informed about the status or resolution through appropriate channels.

IRO	Address	Contact No.	Email ID
Anurag Kumar	Investor Relation Officer, Indus TLG Managers LLP, Avanta Solutions Business Centre Private Limited, D-2, 1st Floor, Office No. 11, Southern Park, District Centre, Saket, New Delhi 110017, India	9871790326	anurag@industechedge.com

Grievance Redressal Framework:

The Investment Manager will inform the Investors regarding the following options available for grievance redressal:

- I. **Registration of investor’s grievance:** Investors can submit any grievance with the IRO in the manner provided within this Policy. Any grievance, if any, received from any investor by any employee of the Sponsor, AIF, Trustee or Investment Manager shall promptly be forwarded to the IRO for immediate action. The IRO shall provide acknowledgement of the receipt of the grievance to the complainant within five working days from the date of receipt of the grievance. The IRO will endeavour to redress the complaint within twenty-one calendar days from the date of receipt of the grievance. In case the grievance could not be redressed within the abovementioned time period, the grievance shall be redressed within an extended period of fifteen calendar days provided reasonable explanation is furnished to the investors and they are duly informed of the status and actions taken. The grievance can be submitted to the IRO in the following manner:

via email: anurag@industechedge.com

via postal means: Investor Relation Officer,
Indus TLG Managers LLP,
Avanta Solutions Business Centre Private Limited,
D-2, 1st Floor, Office No. 11,
Southern Park, District Centre, Saket,
New Delhi 110017, India

The Investor shall mail the grievance on the above-mentioned email id or postal address providing description about grievances, supporting documents, if any, and their basic details. The investors are requested to keep the subject line of the email as “Grievance – Confidential”. In case the grievance is sent through postal means, please mention the subject line on the cover envelope to ensure confidentiality of the matter.

Upon redressal of the grievance, a confirmation mail on the same shall be sent by the IRO to the investor.

- II. **Registration of investor's grievance on the SEBI Complaints Redress System (SCORES) website** - Without prejudice to anything stated above, the investor may register their grievance/complaint through SCORES (SEBI Complaints Redress System) available at <http://scores.gov.in>, post which SEBI may forward the complaint to the Investment Manager and the Investment Manager will suitably address the same. Upon receiving complaints through the SCORES platform, the Investment Manager will ensure its resolution within twenty-one calendar days of submission of such a complaint. The investors can check the SEBI SCORES platform for tracking the complaint. For any queries, feedback, or assistance, investors can also contact SEBI Office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575.

Investors may also send their complaints to: Office of Investor Assistance and Education, Securities and Exchange Board of India, SEBI Bhavan. Plot No. C4- A, G Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.

- III. **Dispute resolution mechanism** - Any complaints / disputes not resolved to the satisfaction of Contributors / Investors as per the above grievance redressal framework will be resolved in accordance with the framework prescribed under the SEBI Master Circular No. SEBI/HO/OIAE/OIAE_IAD-1/P/CIR/2023/145 Master Circular for Online Dispute Resolution dated July 31, 2023.

Maintenance of Records:

All documents relating to investors' grievances and resolutions, including escalated matters, will be maintained for records. The Investment Manager will submit periodic reports to the Trustee Company on the complaints received, status and steps taken to address the same. For effective monitoring, data on investor complaints shall be compiled latest within seven days from the end of each quarter. All the documents with respect to grievance redressal should be maintained by the Investment Manager in electronic form or physical papers for a period of three years.

Review of Policy:

This policy will be reviewed annually or earlier, if required considering the changes in regulatory framework or for business or operational reasons, if any.

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